

# Perelman's entropy in Kähler geometry and its non-archimedean reflection

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- 1  $\mu$ -cscK metrics and  $\mu$ K-stability
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- 3 Moment measure and non-archimedean  $\mu$ -entropy

This talk is based on my 4 articles:

- '19, Constant  $\mu$ -scalar curvature Kähler metric ...
- '20, Equivariant calculus on  $\mu$ -character and  $\mu$ K-stability ...
- '21a, Entropies in  $\mu$ -framework of ..., I
- '21b, Entropies in  $\mu$ -framework of ..., II (in preparation)

1.  $\mu$ -cscK metrics and  $\mu$ K-stability

$$\text{Ham}_{\mathcal{T}}(M, \omega) \begin{array}{c} \curvearrowright \\ \curvearrowleft \end{array} (\mathcal{J}_{\mathcal{T}}(M, \omega), \Omega_{\xi}) \xrightarrow{S_{\xi}^{\lambda}} \mathfrak{ham}_{\mathcal{T}}^{\vee}$$

The story begins with “moment map picture” ....

# $\mu$ -cscK metric

$(X, L)$ : a compact Kähler manifold and an (integral) Kähler class  $L$

For a Kähler metric  $\omega$ , a real holomorphic vector field  $\xi$  is called *Hamiltonian* if  $\exists \mu_\xi \in C^\infty(X)$  s.t.  $d\mu_\xi = -i_\xi \omega$  ( $\Leftrightarrow \nabla \mu_\xi = -J\xi \rightsquigarrow \mathcal{L}_\xi \omega = 0$ ).

Definition ( $\mu$ -cscK metric, '19, cf. Y. Nakagawa, generalized KRs '11)

A Kähler metric  $\omega \in L$  is called  $\check{\mu}_\xi^\lambda$ -cscK metric if  $\xi$  is Hamiltonian and

$$s_{\mu_\xi}^\lambda(\omega) := (s(\omega) + \bar{\square} \mu_\xi) + (\bar{\square} \mu_\xi + |\partial^\# \mu_\xi|^2) - \lambda \mu_\xi = \text{const.}$$

- KRs  $\text{Ric}(\omega) - \mathcal{L}_{J\xi} \omega = \lambda \omega \Leftrightarrow \mu_\xi^\lambda = \check{\mu}_{-2\xi}^\lambda$ -cscK metric in  $L$ :  $\lambda L = 2\pi c_1(X)$ .
- Extremal metric  $\Leftrightarrow$  the limit of  $\mu^\lambda$ -cscK metrics as  $\lambda \rightarrow -\infty$ .

(For each  $\lambda \in \mathbb{R}$ , there exists  $\xi_\lambda$  with  $\text{Fut}_{\xi_\lambda}^\lambda = 0$ . As  $\lambda \rightarrow -\infty$ ,  $\lambda \xi_\lambda \rightarrow \xi_{\text{ext}}$ .)

On  $(X, L) = (\mathbb{C}P^2 \# \overline{\mathbb{C}P^2}, -K_X)$ , there exists a  $\mu^\lambda$ -cscK metric for every  $\lambda \in \mathbb{R}$  which gives a smooth path from KRs at  $\lambda = 2\pi$  to extremal metric at  $\lambda = -\infty$ .

$T = \overline{\exp \mathbb{R} \xi} \subset \text{Isom}(X, \omega) \rightsquigarrow T$ -equivariant formulation of  $\mu$ K-stability.

# Test configuration

Let  $(X, L)$  be a  $T$ -equivariant polarized variety. A  $T$ -equivariant test configuration  $(\mathcal{X}, \mathcal{L})$  of  $(X, L)$  is a  $\mathbb{C}^\times \times T$ -equivariant flat family  $\varpi : \mathcal{X} \rightarrow \mathbb{A}^1$  of schemes over  $\mathbb{A}^1 = \mathbb{C}$  endowed with

- a relatively ample  $\mathbb{C}^\times \times T$ -equivariant  $\mathbb{Q}$ -line bundle  $\mathcal{L}$  on  $\mathcal{X}$  and
- a specific isomorphism  $(X, L) \cong (\mathcal{X}_1, \mathcal{L}|_{\mathcal{X}_1})$  to the fibre over  $1 \in \mathbb{A}^1$ .

We have a natural compactification  $(\bar{\mathcal{X}}, \bar{\mathcal{L}})$  over  $\mathbb{P}^1$ .

We assign the following filtration  $\mathcal{F}_{(\mathcal{X}, \mathcal{L})}$  on  $R = \bigoplus_m R_m = \bigoplus_m H^0(X, L^{\otimes m})$ :

$$\mathcal{F}_{(\mathcal{X}, \mathcal{L})}^\lambda R_m := \{s \in R_m \mid \varpi^{-[\lambda]} \bar{s} \text{ extends to a section of } \mathcal{L}^{\otimes m}\}.$$

For a *normal* test configuration  $(\mathcal{X}, \mathcal{L})$  and an irreducible component  $E \subset \mathcal{X}_0$ , we assign the following variation  $v_E$  on  $\mathbb{C}(X)$ :

$$v_E(f) := \frac{\text{ord}_E(f \circ p_X)}{\text{ord}_E \mathcal{X}_0}$$

# $\mu$ K-stability

Let  $K_X$  denote the canonical sheaf on  $X$ , which is a  $T$ -equiv reflexive sheaf. For a  $T$ -equiv normal test configuration  $(\mathcal{X}, \mathcal{L})$ , we define  $\check{\text{Fut}}_\xi^\lambda(\mathcal{X}, \mathcal{L})$  by

$$\frac{(2\pi K_{\mathcal{X}/\mathbb{P}^1}^T - \lambda \bar{\mathcal{L}}_T \cdot e^{\bar{\mathcal{L}}_T}; \xi) \cdot (e^{L_T}; \xi) - (2\pi K_X^T - \lambda L_T \cdot e^{L_T}; \xi) \cdot (e^{\bar{\mathcal{L}}_T}; \xi)}{(e^{L_T}; \xi)^2} - \lambda \frac{(e^{\bar{\mathcal{L}}_T}; \xi)}{(e^{L_T}; \xi)}.$$

**Definition ( $\mu$ K-stability, '20)**

A  $T$ -equivariant polarized normal variety  $(X, L)$  is called  $\check{\mu}_\xi^\lambda$ K-semistable if  $\check{\text{Fut}}_\xi^\lambda(\mathcal{X}, \mathcal{L}) \geq 0$  for every normal  $T$ -equivariant test configuration  $(\mathcal{X}, \mathcal{L})$ .

**Theorem (Lahdili '19, I. '20, cf. Apostolov–Lahdili–Jubert '21)**

If a polarized manifold  $(X, L)$  admits a  $\check{\mu}_\xi^\lambda$ -cscK metric, it is  $\check{\mu}_\xi^\lambda$ K-semistable.

Boundedness + the slope of  $\mu$ -Mabuchi functional along smooth subgeodesic ray subordinate to a smooth test configuration. It is equivariant localization.

You can safely forget the definition of  $\mu$ -Futaki invariant! This is hard to compute. We have another criterion for  $\mu$ K-semistability as we will see.

# Equivariant cohomology

Let  $X$  be a finite dimensional locally compact topological space with a continuous action of a torus  $T \cong U(1)^{\times k}$  or  $(\mathbb{C}^\times)^{\times k}$ .

- Recall the **equivariant cohomology** of  $X$  is defined as

$$H_T^*(X, \mathbb{Z}) := H^*(X_T, \mathbb{Z}),$$

using the Borel construction  $X_T := X \times_T ET$  for the classifying space  $ET \stackrel{\text{htpic}}{\approx} (\mathbb{C}^\infty \setminus \{0\})^{\times k}$ .

- Equivariant locally finite (Borel–Moore) homology** is defined as

$$H_p^{\text{lf}, T}(X, \mathbb{Z}) := H_{\dim_{\mathbb{R}}(X_T^p/X) + p}^{\text{lf}}(X_T^p, \mathbb{Z}),$$

using  $X_T^p := X \times_T E^p T$  for a finite dimensional approximation  $E^p T := (\mathbb{C}^{l_p+1} \setminus \{0\})^{\times k}$  for large  $l_p$ :  $2k(l_p + 1) > \dim_{\mathbb{R}} X - p + 1$ .

Poincaré duality:  $H_T^p(X, \mathbb{Z}) \cong H_{\dim_{\mathbb{R}} X - p}^{\text{lf}, T}(X, \mathbb{Z})$  for smooth oriented  $X$ .

# Equivariant intersection 1

Let  $X \curvearrowright T$  be a compact normal complex space of  $\dim_{\mathbb{C}} X = n$ . Recall

$$H_{2n-2}^{\text{lf}, T}(X, \mathbb{Z}) \cong H_{2n-2}^{\text{lf}, T}(X^{\text{reg}}, \mathbb{Z}) \cong H_T^2(X^{\text{reg}}, \mathbb{Z}).$$

- For a  $T$ -equivariant complex line bundle  $L$  on  $X$ ,

$$c_{1, T}(L) := c_1(L \times_T ET) \in H_T^2(X, \mathbb{Z}).$$

- For a  $T$ -equivariant reflexive sheaf  $D$  on  $X$  (= a  $T$ -equivariant line bundle on  $X^{\text{reg}}$ ), we put

$$D^T := X^T \frown c_{1, T}(D|_{X^{\text{reg}}}) \in H_{2n-2}^{\text{lf}, T}(X, \mathbb{Z}).$$

For a  $T$ -equivariant reflexive sheaf  $D$  and a  $T$ -equivariant complex line bundle  $L$  on  $X$ , the **equivariant intersection** is defined as

$$(D^T \cdot L_T^{n+q-1}) := \int_{X_T^{2q}} D^T \frown c_{1, T}(L)^{\smile n+q-1} \in H_{-2q}^{\text{lf}, T}(\text{pt}) \cong H_T^{2q}(\text{pt}).$$



## Equivariant intersection 2

Under the Chern–Weil isomorphism (sign convention is important!)

$$H_T^*(\text{pt}, \mathbb{R}) \cong S^* \mathfrak{t}^\vee : c_1(p_i^* \mathcal{O}(-1)) \mapsto (\eta_i^\vee : T \rightarrow \mathbb{C}^\times),$$

we can identify  $(D^T \cdot L_T^{n+q-1})$  with a degree  $q$  polynomial function on  $\mathfrak{t}$ .

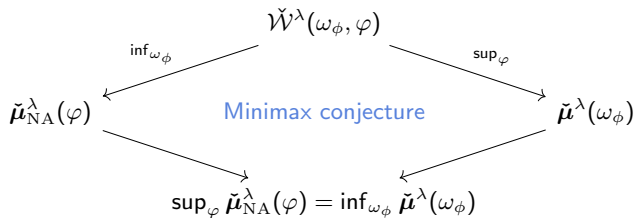
For  $\xi \in \mathfrak{t}$ ,  $(D^T \cdot L_T^{n+q-1}; \xi) \in \mathbb{R}$  denote the value of the function.

We put

$$(D^T \cdot e^{L_T}; \xi) := \sum_{k=0}^{\infty} \frac{1}{k!} (D^T \cdot L_T^k; \xi) = \sum_{q=0}^{\infty} \frac{1}{(n+q-1)!} (D^T \cdot L_T^{n+q-1}; \xi).$$

This is compactly absolutely convergent: take a resolution  $\beta : \tilde{X} \rightarrow X$  and  $\tilde{D}_T \in H_T^2(\tilde{X})$  so that  $\beta_*(\tilde{X} \frown \tilde{D}_T) = D^T$ . Using equiv forms  $\Delta + \delta \in \tilde{D}_T$ ,  $M + \mu \in c_{1,T}(\beta^* L)$ , we can compute

$$(D^T \cdot e^{L_T}; \xi) = \int_{\tilde{X}} (\Delta + \delta_\xi) e^{M+\mu\xi} = \frac{1}{(n-1)!} \int_{\tilde{X}} e^{\mu\xi} \Delta \wedge M^{n-1} + \frac{1}{n!} \int_{\tilde{X}} \delta_\xi e^{\mu\xi} M^n.$$

2. Perelman's entropy and  $\mu$ -cscK metrics

## Perelman's entropy and $\mu$ -cscK metrics

For a Kähler metric  $\omega \in L$  and  $f \in C^{0,1}(X)$ , we put

$$\check{W}^\lambda(\omega, f) := -\frac{\int_X (s(\omega) + |\partial^\# f|^2 - \lambda(n+f)) e^f \omega^n}{\int_X e^f \omega^n} - \lambda \log \int_X e^f \omega^n / n!.$$

We regard  $\check{W}^\lambda$  as a functional on the tangent bundle

$$T\mathcal{H}(X, L) = \mathcal{H}(X, L) \times C^\infty(X)/\mathbb{R}.$$

### Theorem ('21a)

A state  $(\omega, f)$  is a critical point of  $\check{W}^\lambda$  if and only if  $\partial^\# f$  is holomorphic and  $\omega$  is  $\check{\mu}_\xi^\lambda$ -cscK metric for  $\xi = \text{Im} \partial^\# f$ .

For  $\lambda \leq 0$ ,

$$\check{\mu}^\lambda(\omega) := \sup_f \check{W}^\lambda(\omega, f)$$

gives a smooth functional on  $\mathcal{H}(X, L)$  and its critical points are precisely  $\check{\mu}^\lambda$ -cscK metric for some  $\xi$ .

Critical points of  $\check{\mu}^\lambda$  are actually global minimizers (later).

# $C^{1,1}$ geodesic rays

A **geodesic ray** emanating from a Kähler metric  $\omega_\phi = \omega + dd^c\phi$  is a  $U(1)$ -invariant locally bounded  $p_X^*\omega$ -psh function  $\Phi$  on  $X \times \bar{\Delta}^*$  satisfying

$$\Phi|_{X \times \partial\bar{\Delta}^*} = \phi \quad \text{and} \quad (dd_\omega^c \Phi)^{n+1} = 0.$$

We put  $\phi_t := \Phi(\cdot, e^{-t})$  and  $\omega_{\phi_t} := \omega + dd^c\phi_t \in \text{PSH}(X, L)$ .

For a normal test configuration  $(\mathcal{X}, \mathcal{L})$ , we can take a smooth function  $\Phi_\Omega$  on  $X \times \bar{\Delta}^*$  so that  $\omega + dd^c\Phi_\Omega = \Omega|_{X \times \bar{\Delta}^*}$  and  $i_\eta d^c\Phi_\Omega = \mu_\eta$  for a smooth equivariant form  $\Omega + \mu \in c_{1,U(1)}(\beta^*\bar{\mathcal{L}})$  on  $\beta: \tilde{\mathcal{X}} \rightarrow \bar{\mathcal{X}}$ .

**Theorem (Chu–Tosatti–Weinkove, (cf. Phong–Sturm))**

- For a normal test configuration  $(\mathcal{X}, \mathcal{L})$ , there exists a unique  $C_{\text{loc}}^{1,1}$ -geodesic ray  $\Phi$  such that  $\Phi - \Phi_\Omega$  is globally bounded.
- If  $\mathcal{X}$  is smooth,  $\Phi - \Phi_\Omega$  extends to a  $C^{1,1}$ -function on  $\mathcal{X}$  across the central fibre.

## Monotonicity along $C^{1,1}$ geodesic rays

$\check{W}(\omega_{\phi_t}, -\dot{\phi}_t) = -\int_X (s(\omega_{\phi_t}) + |\partial^{\sharp}\dot{\phi}_t|^2) e^{-\dot{\phi}_t} \omega_{\phi_t}^n / \int_X e^{-\dot{\phi}_t} \omega_{\phi_t}^n$  is not well-defined for  $C^{1,1}$ -regular  $\phi_t$ .

Observe for smooth  $\phi_t$ , the antiderivative

$$\int_0^t ds \int_X (s(\omega_{\phi_s}) + |\partial^{\sharp}\dot{\phi}_s|^2) e^{-\dot{\phi}_s} \omega_{\phi_s}^n$$

can be written as

$$\int_X \frac{d\mu_t}{d\mu_0} \log \frac{d\mu_t}{d\mu_0} d\mu_0 - \int_X (\dot{\phi}_t - \dot{\phi}_0) e^{-\dot{\phi}_t} \omega_{\phi_t}^n + \int_0^t ds \int_X n \text{Ric}(\omega_{\phi_0}) \wedge e^{-\dot{\phi}_s} \omega_{\phi_s}^{n-1}.$$

for  $\mu_t = e^{-\dot{\phi}_t} \omega_{\phi_t}^n$ . We denote it by  $\mathcal{A}_{\Phi}(t)$ .

**Theorem ('21a, argument analogous to Berman–Berndtsson)**

For any  $C_{\text{loc}}^{1,1}$ -geodesic ray  $\Phi$  emanating from a smooth  $\omega_{\phi_0}$ ,  $\mathcal{A}_{\Phi}(t)$  is convex and continuous up to the boundary of  $[0, \infty)$  and we have

$$\check{W}_{\flat}(\omega_{\phi_0}, -\dot{\phi}_0) := -\frac{\frac{d}{dt} \mathcal{A}_{\Phi}(t) |_{t=0}}{\int_X e^{-\dot{\phi}_0} \omega_{\phi_0}^n} \leq \check{W}(\omega_{\phi_0}, -\dot{\phi}_0)$$

# What appears in the limit?: non-archimedean $\mu$ -entropy

Theorem ('21a, argument analogous to Z. Sjöström Dyrefelt)

For the geodesic ray  $\Phi$  subordinate to a *smooth* test configuration  $(\mathcal{X}, \mathcal{L})$ , we put  $\Phi_{;\rho}(x, \tau) := \Phi(x, |\tau|^\rho)$  for  $\rho > 0$ . Then

$$-\lim_{t \rightarrow \infty} \frac{\frac{d}{dt} \mathcal{A}_{\Phi_{;\rho}}(t)}{\int_X e^{-\dot{\phi}_{;\rho,t}} \omega_{\phi_{;\rho,t}}^n} = 2\pi \frac{(K_X \cdot e^L) - \frac{\rho}{\pi} (K_{\bar{X}/\mathbb{P}^1}^{\log, \mathbb{C}^\times} \cdot e^{\bar{L}_{\mathbb{C}^\times}}; \frac{\rho}{\pi})}{(e^L) - \frac{\rho}{\pi} (e^{\bar{L}_{\mathbb{C}^\times}}; \frac{\rho}{\pi})}.$$

Here  $K_{\bar{X}/\mathbb{P}^1}^{\log, \mathbb{C}^\times} := (K_{\bar{X}}^{\mathbb{C}^\times} + \mathcal{X}_0^{\text{red}, \mathbb{C}^\times}) - \varpi^*(K_{\mathbb{P}^1}^{\mathbb{C}^\times} + 0^{\mathbb{C}^\times})$ .

We put  $\check{\mu}_{\text{NA}}^\lambda(\mathcal{X}, \mathcal{L}; \rho) := \check{\mu}_{\text{NA}}(\mathcal{X}, \mathcal{L}; \rho) + \lambda \check{\sigma}(\mathcal{X}, \mathcal{L}; \rho)$

$$\check{\mu}_{\text{NA}}(\mathcal{X}, \mathcal{L}; \rho) := 2\pi \frac{(K_X \cdot e^L) - \rho (K_{\bar{X}/\mathbb{P}^1}^{\log, \mathbb{C}^\times} \cdot e^{\bar{L}_{\mathbb{C}^\times}}; \rho)}{(e^L) - \rho (e^{\bar{L}_{\mathbb{C}^\times}}; \rho)}$$

$$\check{\sigma}(\mathcal{X}, \mathcal{L}; \rho) := \frac{(L \cdot e^L) - \rho (\bar{L}_{\mathbb{C}^\times} \cdot e^{\bar{L}_{\mathbb{C}^\times}}; \rho)}{(e^L) - \rho (e^{\bar{L}_{\mathbb{C}^\times}}; \rho)} - \log((e^L) - \rho (e^{\bar{L}_{\mathbb{C}^\times}}; \rho))$$

# What appears in the limit?: non-archimedean $\mu$ -entropy

Theorem ('21a, argument analogous to Z. Sjöström Dyrefelt)

For the geodesic ray  $\Phi$  subordinate to a *smooth* test configuration  $(\mathcal{X}, \mathcal{L})$ , we put  $\Phi_{;\rho}(x, \tau) := \Phi(x, |\tau|^\rho)$  for  $\rho > 0$ . Then

$$-\lim_{t \rightarrow \infty} \frac{\frac{d}{dt} + \mathcal{A}_{\Phi_{;\rho}}(t)}{\int_X e^{-\dot{\phi}_{;\rho,t}} \omega_{\phi_{;\rho,t}}^n} = 2\pi \frac{(K_X \cdot e^L) - \frac{\rho}{\pi} (K_{\bar{X}/\mathbb{P}^1}^{\log, \mathbb{C}^\times} \cdot e^{\bar{L}_{\mathbb{C}^\times}}; \frac{\rho}{\pi})}{(e^L) - \frac{\rho}{\pi} (e^{\bar{L}_{\mathbb{C}^\times}}; \frac{\rho}{\pi})}.$$

Here  $K_{\bar{X}/\mathbb{P}^1}^{\log, \mathbb{C}^\times} := (K_{\bar{X}}^{\mathbb{C}^\times} + \mathcal{X}_0^{\text{red}, \mathbb{C}^\times}) - \varpi^*(K_{\mathbb{P}^1}^{\mathbb{C}^\times} + 0^{\mathbb{C}^\times})$ .

We put  $\check{\mu}_{\text{NA}}^\lambda(\mathcal{X}, \mathcal{L}; \rho) := \check{\mu}_{\text{NA}}(\mathcal{X}, \mathcal{L}; \rho) + \lambda \check{\sigma}(\mathcal{X}, \mathcal{L}; \rho)$

$$\check{\mu}_{\text{NA}}(\mathcal{X}, \mathcal{L}; \rho) := 2\pi \frac{(\kappa_{\mathcal{X}_0}^{\mathbb{C}^\times} \cdot e^{\mathcal{L}_{\mathbb{C}^\times}|_{\mathcal{X}_0}}; \rho) - \rho(\mathcal{X}_0^{\text{red}, \mathbb{C}^\times} - \mathcal{X}_0^{\mathbb{C}^\times} \cdot e^{\mathcal{L}_{\mathbb{C}^\times}}; \rho)}{\int_{\mathbb{R}} e^{-\rho t} \text{DH}_{(\mathcal{X}, \mathcal{L})}}$$

$$\check{\sigma}(\mathcal{X}, \mathcal{L}; \rho) := \frac{\int_{\mathbb{R}} (n - \rho t) e^{-\rho t} \text{DH}_{(\mathcal{X}, \mathcal{L})}}{\int_{\mathbb{R}} e^{-\rho t} \text{DH}_{(\mathcal{X}, \mathcal{L})}} - \log \int_{\mathbb{R}} e^{-\rho t} \text{DH}_{(\mathcal{X}, \mathcal{L})}$$

# Summary on $W$ -entropy

Let  $\mathcal{H}_{\text{NA}}(X, L)$  denote the set of normal test configurations and  $\varphi$  denote its element. Later, we will identify  $\varphi$  with a function on the Berkovich space  $X^{\text{NA}}$ . Using the geodesic ray  $\Phi = \{\phi_t\}$  emanating from  $\omega_\phi$  subordinate to  $\varphi$ , we put

$$\check{W}^\lambda(\omega_\phi, \varphi) := \check{W}_b^\lambda(\omega_\phi, -\dot{\phi}_0)$$

## Summary

To sum up, we have

$$\check{\mu}_{\text{NA}}^\lambda(\varphi) \leq \inf_{\omega_\phi \in \mathcal{H}(X, L)} \check{W}^\lambda(\omega_\phi, \varphi) \leq \sup_{\varphi \in \mathcal{H}_{\text{NA}}(X, L)} \check{W}^\lambda(\omega_\phi, \varphi) \leq \check{\mu}^\lambda(\omega_\phi).$$

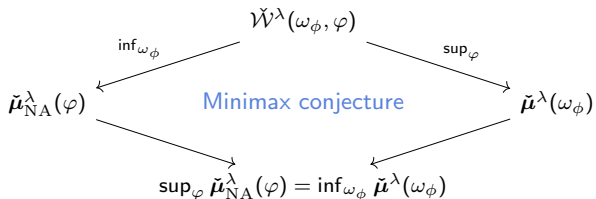
## Proposition ('21a)

If  $(X, L)$  admits a  $\check{\mu}_\xi^\lambda$ -cscK metric  $\omega$  for  $\lambda \leq 0$ , then

$$\check{\mu}_{\text{NA}}^\lambda(\xi) = \sup_{\varphi \in \mathcal{H}_{\text{NA}}(X, L)} \check{\mu}_{\text{NA}}^\lambda(\varphi) = \inf_{\omega_\phi \in \mathcal{H}(X, L)} \check{\mu}^\lambda(\omega_\phi) = \check{\mu}^\lambda(\omega).$$



# A conjectural picture: minimax picture



## cf. Sion's minimax theorem

Let  $W$  be a convex subset of a linear topological space and  $C$  be a compact convex subset of a linear topological space. If  $f : W \times C \rightarrow \mathbb{R}$  is a function satisfying

- $f(w, \cdot) : C \rightarrow \mathbb{R}$  is usc and quasi-concave:  $f(w, \cdot)^{-1}((-\infty, a))$  is convex,
- $f(\cdot, c) : W \rightarrow \mathbb{R}$  is lsc and quasi-convex:  $f(\cdot, c)^{-1}((a, \infty))$  is convex,

then we have

$$\sup_{c \in C} \inf_{w \in W} f(w, c) = \inf_{w \in W} \sup_{c \in C} f(w, c).$$

# Non-archimedean $\mu$ -entropy and $\mu$ K-semistability

Theorem ('21b, essentially observed in '20)

If  $\varphi \in \mathcal{H}_{\text{NA}}(X, L)$  maximizes  $\check{\mu}_{\text{NA}}^\lambda$ , then the central fibre of  $\varphi$  is  $\mu^\lambda$ K-semistable with respect to the vector  $\xi$  generated by the  $\mathbb{C}^\times$ -action.

We obtain the following criterion for  $\mu$ K-stability

Proposition ('19 + '21b)

Suppose for every test configuration  $(\mathcal{X}, \mathcal{L})$  there exists  $\xi \in N_{\mathbb{Q}}$  such that  $\check{\mu}_{\text{NA}}^\lambda(\mathcal{X}, \mathcal{L}) \leq \check{\mu}_{\text{NA}}^\lambda(\xi)$ . Then  $(X, L)$  is  $\mu^\lambda$ K-semistable for some  $\xi$ .

and a new proof for the following

Corollary ('21b)

If  $(X, L)$  admits a  $\check{\mu}_\xi^\lambda$ -cscK metric for  $\lambda \leq 0$ , then it is  $\check{\mu}_\xi^\lambda$ K-semistable.

# Toric case

Let  $(X, L)$  be a normal toric variety and  $P$  be the moment polytope. For a toric test configuration  $(\mathcal{X}, \mathcal{L})$  and  $c \gg 0$ ,  $\bar{\mathcal{L}}_c = \bar{\mathcal{L}} + c\mathcal{X}_0$  for  $\mathcal{L}_c = \mathcal{L} + c\mathbb{C}_{-1}$  is ample. The associated polytope  $Q_c$  is of the form  $Q_c = \{(\mu, t) \in P \times \mathbb{R} \mid 0 \leq t \leq -q(\mu) + c\}$  for a convex function  $q$  on  $P$ .

Proposition ('21b, essentially observed in '20)

$$\begin{aligned}\check{\mu}_{\text{NA}}(\mathcal{X}, \mathcal{L}; \rho) &= -2\pi \frac{\int_{\partial P} e^{\rho q} d\sigma}{\int_P e^{\rho q} d\mu}, \\ \check{\sigma}(\mathcal{X}, \mathcal{L}; \rho) &= \frac{\int_P (n + \rho q) e^{\rho q} d\mu}{\int_P e^{\rho q} d\mu} - \log \int_P e^{\rho q} d\mu.\end{aligned}$$

Eg. When  $n \leq 2$ , there exists an lsc convex function  $q$  on  $P$  maximizing  $\check{\mu}_{\text{NA}}$  with  $\int_P e^q d\mu < \infty$ .

3. Moment measure and non-archimedean  $\mu$ -entropy

$$\begin{array}{ccc} \mathcal{H}_{\text{NA}}(X, L) & \xrightarrow{\tilde{\mu}_{\text{NA}}^\lambda} & [-\infty, \infty) \\ \downarrow & \nearrow \tilde{\mu}_{\text{NA}}^\lambda & \\ \mathcal{E}_{\text{NA}}^{\text{exp}}(X, L) & & \end{array}$$

$$-\frac{\int_{X^{\text{NA}}} (2\pi A_X + \lambda\varphi) \int e^{-t\mathcal{D}_\varphi} + E_{\text{exp}}^{2\pi K_X + \lambda L}(\varphi)}{\iint_{X^{\text{NA}}} e^{-t\mathcal{D}_\varphi}} - \lambda \log \iint_{X^{\text{NA}}} e^{-t\mathcal{D}_\varphi}$$

# Test configuration, revisit

Let  $(X, L)$  be a  $T$ -equivariant polarized variety. A  $T$ -equivariant test configuration  $(\mathcal{X}, \mathcal{L})$  of  $(X, L)$  is a  $\mathbb{C}^\times \times T$ -equivariant flat family of schemes over  $\mathbb{A}^1 = \mathbb{C}$  endowed with

- a relatively ample  $\mathbb{C}^\times \times T$ -equivariant  $\mathbb{Q}$ -line bundle  $\mathcal{L}$  and
- a specific isomorphism  $(X, L) \cong (\mathcal{X}_1, \mathcal{L}|_{\mathcal{X}_1})$  of the fibre over  $1 \in \mathbb{A}^1$ .

We have a natural compactification  $(\bar{\mathcal{X}}, \bar{\mathcal{L}})$  over  $\mathbb{P}^1$ .

We assign the following **filtration**  $\mathcal{F}_{(\mathcal{X}, \mathcal{L})}$  on  $R = \bigoplus_m R_m = \bigoplus_m H^0(X, L^{\otimes m})$ :

$$\mathcal{F}_{(\mathcal{X}, \mathcal{L})}^\lambda R_m := \{s \in R_m \mid \varpi^{-\lceil \lambda \rceil} \bar{s} \text{ extends to a section of } \mathcal{L}^{\otimes m}\}.$$

For a *normal* test configuration  $(\mathcal{X}, \mathcal{L})$  and an irreducible component  $E \subset \mathcal{X}_0$ , we assign the following **valuation**  $v_E$  on  $\mathbb{C}(X)$ :

$$v_E(f) := \frac{\text{ord}_E(f \circ p_X)}{\text{ord}_E \mathcal{X}_0}$$

# Global pluripotential theory over trivially valued fields

The **Berkovich space**  $X^{\text{NA}}$  is a compactification of  $\text{Val}(X)$ . It consists of semi-valuations  $v: v \in \text{Val}(Y)$  for some irreducible variety  $Y \subset X$ .

We consider the following function  $\varphi_{(\mathcal{X}, \mathcal{L})}$  on  $X^{\text{NA}}$  for a test configuration  $(\mathcal{X}, \mathcal{L})$ :

$$\varphi_{(\mathcal{X}, \mathcal{L})}(v) := \inf\{\sigma \in \mathbb{R} \mid \mathcal{F}_{(\mathcal{X}, \mathcal{L})} \subset \mathcal{F}_v[\sigma]\},$$

where  $\mathcal{F}_v[\sigma]^\lambda R_m := \{s \in R_m \mid v(s) + m\sigma \geq \lambda\}$ .

We have  $\varphi_{(\mathcal{X}, \mathcal{L})} = \varphi_{(\mathcal{X}', \mathcal{L}')}$  iff the normalization coincides:

$$\mathcal{H}_{\text{NA}}(X, L) = \{\varphi_{(\mathcal{X}, \mathcal{L})}\}.$$

## Definition (Boucksom–Jonsson)

A **non-archimedean psh metric** on  $(X, L)$  is a function on  $X^{\text{NA}}$  given as the limit of a decreasing net  $\{\varphi_{(\mathcal{X}_i, \mathcal{L}_i)}\}$ . We denote the set of NA psh metrics by  $\text{PSH}_{\text{NA}}(X, L)$ .

# Non-archimedean Monge–Ampère measure

For  $\varphi \in \text{PSH}_{\text{NA}}(X, L)$ , we put

$$E(\varphi) := \inf \left\{ \frac{(\bar{\mathcal{L}} \cdot^{n+1})}{(n+1)!} = \int_{\mathbb{R}} t \text{DH}_{(X, \mathcal{L})} \mid \varphi \leq \varphi_{(X, \mathcal{L})} \in \mathcal{H}_{\text{NA}}(X, L) \right\}$$

and

$$\mathcal{E}_{\text{NA}}^1(X, L) := \{\varphi \in \text{PSH}_{\text{NA}}(X, L) \mid E(\varphi) > -\infty\}.$$

## Theorem (Boucksom–Jonsson)

For  $\varphi \in \mathcal{E}_{\text{NA}}^1(X, L)$ , we can assign a measure  $\text{MA}(\varphi)$  on  $X^{\text{NA}}$  with a continuity property along decreasing nets  $\varphi_i \searrow \varphi$ . For a normal test configuration  $\varphi \equiv (X, \mathcal{L}) \in \mathcal{H}_{\text{NA}}(X, L)$ , the measure is explicitly given by:

$$\text{MA}(\varphi) := \frac{1}{n!} \sum_{E \subset \mathcal{X}_0} \text{ord}_E \mathcal{X}_0 \cdot (E \cdot \mathcal{L} \cdot^n) \cdot \delta_{VE}.$$

We use a compact Hausdorff topology on  $X^{\text{NA}} \rightsquigarrow$  we can apply Dini's lemma and Riesz–Markov–Kakutani theorem.

# Log discrepancy

For a prime divisor  $E \subset \tilde{X} \rightarrow X$  over  $X$ , we put

$$A_X(c \cdot \text{ord}_E) = c(1 + \text{ord}_E(K_{\tilde{X}/X}))$$

The log discrepancy  $A_X$  extends to a lsc functional on  $X^{\text{NA}}$ .

Recall how the Mabuchi invariant  $M^{\text{NA}}$  ( $\approx$  Donaldson–Futaki invariant) is extended to  $\mathcal{E}_{\text{NA}}^1(X, L)$ :

$$M^{\text{NA}}(\mathcal{X}, \mathcal{L}) = \frac{1}{n!} (K_{\tilde{X}/\mathbb{P}^1}^{\log} \cdot \tilde{\mathcal{L}} \cdot n) - \frac{n(K_X \cdot L \cdot n-1)}{(L \cdot n)} \frac{(\tilde{\mathcal{L}} \cdot n+1)}{(n+1)!}.$$

Using  $K_{\tilde{X}/X_{\mathbb{P}^1}}^{\log} = \sum_{E \in \mathcal{X}_0} \text{ord}_E \mathcal{X}_0 \cdot A_X(v_E) \cdot E$ ,

$$\begin{aligned} M^{\text{NA}}(\varphi) &= \frac{1}{n!} (K_{\tilde{X}/X_{\mathbb{P}^1}}^{\log} \cdot \tilde{\mathcal{L}} \cdot n) + \frac{1}{n!} (K_{X_{\mathbb{P}^1}/\mathbb{P}^1} \cdot \tilde{\mathcal{L}} \cdot n) - \frac{n(K_X \cdot L \cdot n-1)}{(L \cdot n)} E(\varphi) \\ &= \int_{X^{\text{NA}}} A_X \text{MA}(\varphi) + E^{K_X}(\varphi) - \frac{n(K_X \cdot L \cdot n-1)}{(L \cdot n)} E(\varphi). \end{aligned}$$



## Towards non-archimedean formalism: moment measure

For a test configuration  $\varphi \equiv (\mathcal{X}, \mathcal{L})$ , we consider the following measure  $\mathcal{D}_\varphi$  on  $\mathbb{R} \times X^{\text{NA}}$ :

$$\mathcal{D}_\varphi := \sum_E \text{ord}_E \mathcal{X}_0 \cdot \text{DH}_{E, \mathcal{L}|_E} \otimes \delta_{v_E}.$$

Then we have

$$\int_{X^{\text{NA}}} \int_{\mathbb{R}} \chi(-\rho t) \mathcal{D}_\varphi = (\mathcal{X}_0^{\mathbb{C}^\times} \cdot \chi(\bar{\mathcal{L}}_{\mathbb{C}^\times}); \rho),$$

$$\int_{X^{\text{NA}}} A_X \int_{\mathbb{R}} e^{-\rho t} \mathcal{D}_\varphi = (\rho K_{\bar{X}/X_{\mathbb{P}^1}}^{\log, \mathbb{C}^\times} \cdot e^{\bar{\mathcal{L}}_{\mathbb{C}^\times}}; \rho).$$

For a  $\mathbb{C}^\times$  action on  $(X, L)$  and a  $U(1)$ -invariant metric  $\omega \in L$ , we can associate a unique moment map  $\mu : X \rightarrow \mathbb{R}$  normalized by  $[\omega + \mu] = L_{\mathbb{C}^\times}$ . The measure  $\mathcal{D} = (\text{id}_X \times \mu)_* \omega^n$  on  $\mathbb{R} \times X$  determines  $\mu$  and  $\omega$ . For  $\chi \in C^\infty(\mathbb{R})$ , we have

$$\int_X \psi \int_{\mathbb{R}} \chi(t) \mathcal{D} = \int_X \psi \cdot \chi \circ \mu \omega^n.$$

## Main results 1: moment measure

## Theorem ('21b)

For general  $\varphi \in \text{PSH}_{\text{NA}}(X, L)$ , we can assign a measure  $\text{DH}_\varphi$  on  $\mathbb{R}$  with a continuity property along decreasing nets  $\varphi_i \searrow \varphi$ . For  $\varphi \equiv (\mathcal{X}, \mathcal{L}) \in \mathcal{H}_{\text{NA}}(X, L)$ , we have

$$\text{DH}_\varphi = \text{DH}_{(\mathcal{X}, \mathcal{L})} = \lim_{m \rightarrow \infty} \frac{1}{m^n} \sum_{\lambda \in \mathbb{Z}} \dim(\mathcal{F}^\lambda R_m / \mathcal{F}^{\lambda+1} R_m) \cdot \delta_{\lambda/m}.$$

For  $\varphi \in \mathcal{E}_{\text{NA}}^1(X, L)$  and a Borel measurable function  $\chi$  on  $\mathbb{R}$  with  $\int_{\mathbb{R}} |\chi| \text{DH}_\varphi < \infty$ , we can assign a measure  $\int \chi \mathcal{D}_\varphi$  on  $X^{\text{NA}}$  with a continuity property along decreasing nets. For a normal test configuration  $\varphi \equiv (\mathcal{X}, \mathcal{L}) \in \mathcal{H}_{\text{NA}}(X, L)$ , the measure is explicitly given by:

$$\int \chi \mathcal{D}_\varphi = \sum_{E \subset \mathcal{X}_0} \text{ord}_E \mathcal{X}_0 \cdot \int_{\mathbb{R}} \chi \text{DH}_{E, \mathcal{L}|_E} \cdot \delta_{v_E}.$$

# Construction of moment measure

For  $\varphi \in \mathcal{E}_{\text{NA}}^1(X, L)$  and  $\tau \in \mathbb{R}$ ,  $\varphi \wedge \tau := \sup\{\varphi' \in \text{PSH}_{\text{NA}}(X, L) \mid \varphi' \leq \varphi, \tau\}$  gives a non-archimedean psh metric in  $\mathcal{E}_{\text{NA}}^1(X, L)$ .

For  $\varphi \equiv (\mathcal{X}, \mathcal{L}) \in \mathcal{H}_{\text{NA}}(X, L)$ , we have

$$\text{MA}(\varphi \wedge \tau) = \sum_{E \in \overline{\mathcal{X}}_0} \text{ord}_E \mathcal{X}_0 \int_{(-\infty, \tau)} \text{DH}_{E, \mathcal{L}|_E} \cdot \delta_{v_E} + \int_{[\tau, \infty)} \text{DH}_{(\mathcal{X}, \mathcal{L})} \cdot \delta_{v_{\text{triv}}}.$$

1. We put  $\int 1_{[\tau', \tau)} \mathcal{D}_\varphi := \text{MA}(\varphi \wedge \tau) - \text{MA}(\varphi \wedge \tau') + \int_{[\tau', \tau)} \text{DH}_\varphi \cdot \delta_{v_{\text{triv}}}$ .
2. For a non-negative  $g \in C^0(X^{\text{NA}})$  and a Borel measurable  $B \subset \mathbb{R}$ , we put

$$\nu_{\varphi, g}(B) := \inf \left\{ \sum_{i=1}^{\infty} \int_{X^{\text{NA}}} g \int 1_{[\tau'_i, \tau_i)} \mathcal{D}_\varphi \mid B \subset \bigcup_{i=1}^{\infty} [\tau'_i, \tau_i) \right\}.$$

3.  $l_{\varphi, \chi}(g) := \int_{\mathbb{R}} \chi \nu_{\varphi, g}$  gives a bounded linear functional on  $C^0(X^{\text{NA}})$ , then by representation theorem, we get a measure  $\int \chi \mathcal{D}_\varphi$  satisfying

$$\int_{X^{\text{NA}}} g \int \chi \mathcal{D}_\varphi = l_{\varphi, \chi}(g).$$

Main results 2: continuous extension to  $\mathcal{E}_{\text{NA}}^{\text{exp}}(X, L)$ 

For  $\varphi \in \text{PSH}_{\text{NA}}(X, L)$  and  $\rho > 0$ , we put

$$E_{\text{exp}}(\varphi; \rho) := \inf \left\{ - \int_{\mathbb{R}} e^{-\rho t} \text{DH}_{(X, L)} \mid \varphi \leq \varphi_{(X, L)} \in \mathcal{H}_{\text{NA}}(X, L) \right\}$$

and

$$\mathcal{E}_{\text{NA}}^{\text{exp}}(X, L) := \{ \varphi \in \text{PSH}(X, L) \mid E_{\text{exp}}(\varphi; \rho) > -\infty \text{ for } \forall \rho > 0 \}.$$

## Theorem ('21b)

There is a metric  $d_{\text{exp}}$  on  $\mathcal{E}_{\text{NA}}^{\text{exp}}(X, L)$  for which  $\varphi \mapsto \int e^{-t} \mathcal{D}_{\varphi}$  is continuous. Moreover, there is a continuous extension of the following to  $\mathcal{E}_{\text{NA}}^{\text{exp}}(X, L)$ :

$$\check{\mu}_{\text{NA}}^{\lambda}(\varphi) + \frac{\int_{X^{\text{NA}}} A_X \int e^{-t} \mathcal{D}_{\varphi}}{\iint_{X^{\text{NA}}} e^{-t} \mathcal{D}_{\varphi}}.$$

Suppose  $X$  is smooth (or the continuity of envelopes holds for  $(X, L)$ ). Then the metric space  $\mathcal{E}_{\text{NA}}^{\text{exp}}(X, L)$  is complete.

# Continuity: tomographic expression

For  $\varphi \in \mathcal{E}_{\text{NA}}^1(X, L)$  and  $\tau \in \mathbb{R}$ ,

$\varphi \wedge \tau = \sup\{\varphi' \in \text{PSH}_{\text{NA}}(X, L) \mid \varphi' \leq \varphi, \tau\}$  exists in  $\mathcal{E}_{\text{NA}}^1(X, L)$   
(without assuming the continuity of envelopes).

## Proposition ('21b)

We have

$$\int_{X^{\text{NA}}} \psi \int e^{-t} \mathcal{D}_\varphi = \int_{\mathbb{R}} d\tau e^{-\tau} \int_{X^{\text{NA}}} (\psi - \psi(v_{\text{triv}})) \text{MA}(\varphi \wedge \tau) \\ + \psi(v_{\text{triv}}) \int_{\mathbb{R}} e^{-\tau} \text{DH}_\varphi(\tau)$$

for  $\psi \in \mathcal{E}_{\text{NA}}^1(X, L)$  and  $\varphi \in \mathcal{E}_{\text{NA}}^{\text{exp}}(X, L)$ . Moreover, we have

$$\left| e^{-\tau} \int_{X^{\text{NA}}} (\psi - \psi(v_{\text{triv}})) \text{MA}(\varphi \wedge \tau) \right| \leq C_\varepsilon e^{-\varepsilon|\tau|}$$

for  $C_\varepsilon$  depending boundedly on  $n, (L^n), d_1(\varphi, 0), d_1(\psi, 0), E_{\text{exp}}(\varphi; 2+2\varepsilon)$ .

# The non-archimedean $\mu$ -entropy on $\mathcal{E}_{\text{NA}}^{\text{exp}}(X, L)$

## Corollary ('21b)

The non-archimedean  $\mu$ -entropy  $\check{\mu}_{\text{NA}}^{\lambda}(\varphi) =$

$$-\frac{\int_{X_{\text{NA}}} (2\pi A_X + \lambda\varphi) \int e^{-t\mathcal{D}_{\varphi}} + E_{\text{exp}}^{2\pi K_X + \lambda L}(\varphi)}{\iint_{X_{\text{NA}}} e^{-t\mathcal{D}_{\varphi}} - \lambda \log \iint_{X_{\text{NA}}} e^{-t\mathcal{D}_{\varphi}}$$

is well-defined and upper semi-continuous on  $\mathcal{E}_{\text{NA}}^{\text{exp}}(X, L)$ .

## Conjecture

The subset

$$\left\{ \varphi \in \mathcal{E}_{\text{NA}}^{\text{exp}}(X, L) \mid \iint_{X_{\text{NA}}} e^{-t\mathcal{D}_{\varphi}} = 1, \int_{X_{\text{NA}}} A_X \int e^{-t\mathcal{D}_{\varphi}} + E_{\text{exp}}^{K_X}(\varphi) \leq C \right\}$$

is weakly compact and  $E_{\text{exp}}(\varphi; \rho) = -\iint_{X_{\text{NA}}} e^{-\rho t\mathcal{D}_{\varphi}}$  is bounded for any  $\rho > 0$ .

If the conjecture holds, then there exists a maximizer of  $\check{\mu}_{\text{NA}}^{\lambda}$  for  $\lambda \leq 0$ . We call it a **non-archimedean  $\mu$ -cscK metric**.

Thank you for listening!